

2161 #6 BT
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PTO/SB/21 (01-03)
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/035,030
	Filing Date	12/28/2001
	First Named Inventor	Kizer et al.
	Art Unit	2161
	Examiner Name	Not yet assigned
	Attorney Docket Number	24141097.3
Total Number of Pages in This Submission		

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard acknowledgement
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	William D. McSpadden BAKER & MCKENZIE
Signature	<i>William D. McSpadden</i>
Date	3/24/2003

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 3/24/2003	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert D. KIZER, et al.

Application No: 10/035,030

Filing Date: December 28, 2001

Examiner: Not Yet Assigned

Group Art No: 2161

Attorney Docket Number: 24141097.3

For: METHOD AND APPARATUS FOR PROCESSING FINANCIAL
TRANSACTIONS OVER A PAGING NETWORK

Commissioner for Patents
Washington DC 20231

Dear Commissioner:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A. One copy of the listed documents is submitted herewith.

X (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is being filed (check all that apply):

____ (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); **OR**

____ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR**

X (c) Before the mailing of a first Office action on the merits; **OR**

☐ (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

☒ (2) This information disclosure statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

☐ (a) Is accompanied by the fee set forth in § 1.17(p); **OR**

☒ (b) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

☐ (c) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

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☐ (a) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

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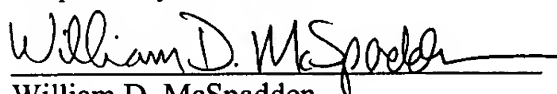
Attorney Docket No. 24141097.3

information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference 24141097.3).

Respectfully submitted,



William D. McSpadden
Attorney for Applicant
Reg. No. 44,234

March 24, 2003

BAKER & MCKENZIE
2300 Trammell Crow Center
2001 Ross Avenue
Dallas, Texas 75201-2916
Main: (214) 978-3000
Direct: (214) 978-3040
Fax: (214) 978-3099



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if known

Application Number	10/035,030
Filing Date	12/28/2001
First Named Inventor	Kizer
Art Unit	2181
Examiner Name	Not signed
Attorney Docket Number	24141097.5

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GROUP 3600**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6311167	10-30-2001	Davis et al.	
		US- 6278442	08-21-2001	Griffin et al.	
		US- 6105006	08-15-2000	Davis et al.	
		US- 6104759	08-15-2000	Carkner et al.	
		US- 6061557	05-09-2000	Lazaridis et al.	
		US- 6034623	03-07-2000	Wandel	
		US- 6018770	01-25-2000	Little et al.	
		US- 6014429	01-11-2000	LaPorta et al.	
		US- 5966663	10-12-1999	Gleason	
		US- 5966098	10-12-1999	Qi et al.	
		US- 5920815	07-06-1999	Akhavan	
		US- 5802312	09-01-1998	Lazaridis et al.	
		US- 5539189	07-23-1996	Wilson	
		US- 5473143	12-05-1995	Vak et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/035,030
Filing Date	12/28/2001
First Named Inventor	Kizer
Art Unit	2181
Examiner Name	Not yet assigned
Attorney Docket Number	24141097.3

Sheet	2	of	2
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OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report from PCT/US02/41509 dated 6 March 2003 performed by ISA/US	

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